



SECTOR
PATENT
T/A

ATTORNEY-DOCKET NO. DIVER1110-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mathur, et al. Art Unit: 1636
Application No.: 09/880,729 Examiner Unassigned
Filed: June 12, 2001
Title: ENZYMES HAVING CARBOXYMETHYL CELLULASE ACTIVITY AND
METHODS OF USE THEREOF

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

BEST AVAILABLE COPY

Sir:

TRANSMITTAL LETTER

Transmitted herewith for filing regarding the above-identified patent application, please find the following:

- (1) Response to Notice to File Missing Parts (2 pages);
- (2) Notice to File Missing Parts (2 pages);
- (3) Assignment with Recordation Cover sheet (5 pages);
- (4) Executed Declaration (3 pages);
- (5) Power of Attorney by Assignee (2 pages);
- (6) Preliminary Amendment (2 pages);
- (7) Permission to Use Sequence Listing (2 pages);
- (8) Petition for a Two-Month Extension of time (2 pages);
- (9) Check in the amount of \$2,177.00;
- (10) Return postcard.

A check in the amount of \$2177.00 is enclosed (\$370.00 for the filing fee; \$65.00 for surcharge for filing late declaration; \$648.00 for additional claims; \$714.00 for independent

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, <u>10/15</u> , 2001, in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.	
Stephanie Sharrett	
Name of Person Mailing Paper	
Signature <i>Stephanie Sharrett</i>	Date

Applicants: Mathur, et al.
Serial No.: 09/880,729
Filed: June 12, 2001
Page 2

PATENT
Attorney Docket No.: DIVER1110-4

claims; \$140.00 for multiple claim fee; \$200.00 for the two-month extension fee and \$40.00 for the recordation fee). The Commissioner is hereby authorized to charge the amount of any other fees, or credit any overpayments, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: 10/15/01



Lisa A. Haile, J.D., Ph.D.

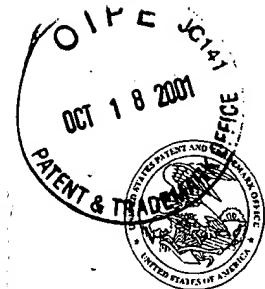
Registration No.: 38,347

Telephone: (858) 677-1456

Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1100
San Diego, California 92121-2189
USPTO Customer Number 28213

BEST AVAILABLE COPY



RECEIVED

Page 1 of 2

JUL 16 2001

GRAYCARY/GT PATENT
UNITED STATES PATENT AND TRADEMARK OFFICECOMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/880,729	06/12/2001	Eric J. Mathur	DIVER1110-4

CONFIRMATION NO. 6449

FORMALITIES LETTER



OC00000006282700

GRAY CARY WARE & FREIDENRICH LLP
Suite 1600
4365 Executive Drive
San Diego, CA 92121-2189

#3

BEST AVAILABLE COPY

Date Mailed: 07/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/19/2001 CCHAU1 00000047 09880729

FILED UNDER 37 CFR 1.53(b) 12/27/2001 YGIZAW 00000002 501355 09880729

01 FC:201	370.00 OP
02 FC:203	648.00 OP
03 FC:202	714.00 OP
04 FC:205	65.00 OP
05 FC:204	140.00 OP

Filing Date Granted

01 FC:202	42.00 CH
02 FC:203	198.00 CH

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

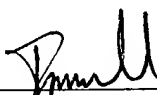
- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$3402.
 - \$1692 for 94 total claims over 20.
 - \$1440 for 18 independent claims over 3.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 4242.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file

in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

BEST AVAILABLE COPY